

07/05/01

# UTILITY PATENT APPLICATION TRANSMITTAL

Form for new nonprovisional applications under 37 CFR 1.53(b)

## APPLICATION ELEMENTS

1.  Filing fee as calculated below.

2.  Applicant claims small entity status  
See 37 CFR 1.27.

3.  Specification  
(*preferred arrangement set forth below*)  
- Descriptive title of the invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (*if filed*)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

4.  Drawing(s) (35 USC 113) [Total Pages **[12]**]

5.  Oath or Declaration [Total Pages **[ ]**]  
a.  Newly executed (original or copy)  
b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 16 completed)*  
i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2)  
and 1.33(b)

6.  Application Data Sheet. See 37 CFR 1.76

Attorney Docket No.	1736/00009
First Named Inventor or Application Identifier	Lawrence M. Ausubel
Title	System and Method for an Efficient Dynamic Multi-Unit Auction
Express Mail Label No.	

11000 U.S. PRO  
09/898483  
07/05/01Commissioner for Patents  
Box Applications  
Washington, D.C. 20231

7.  Microfiche Computer Program (Appendix)  
8.  Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)  
a.  Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper  
c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment papers (cover sheet & document(s)) SEE NEXT PAGE FOR ASSIGNEE INFORMATION

10.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*

11.  English Translation Document (*if applicable*)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

15.  Certified copy of Priority Document(s)  
*(if foreign priority is claimed)*

16.  Other:

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. 09/573,007

Prior application information: Examiner Group/Art Unit:

For **CONTINUATION or DIVISIONAL APPS only**: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<div style="text-align: center;"> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">(Insert Customer No. or Attach bar code label here)</div> <div style="text-align: right;">or <input checked="" type="checkbox"/> correspondence address below</div> </div>				
NAME	Connolly Bove Lodge & Hutz LLP Suite 800				
ADDRESS	1990 M Street, N.W.				
CITY	Washington	STATE	DC	ZIP CODE	20036-3425
COUNTRY	U.S.A	TELEPHONE	(202) 331-7111	FAX	(202) 293-6229

**Fee Calculation and Transmittal**

(Col 1) NO. FILED	(Col 2)	(Col 3) NO. EXTRA	SMALL ENTITY		OR	NON-SMALL ENTITY	
			RATE	Fee		RATE	Fee
TOTAL	44	minus 20 = 24	x9=	\$ 216		x18=	\$
INDEP	6	minus 3 = 3	x40=	\$120		x80=	\$
<u>First Presentation, Multiple Dependent Claims</u>			+135=	\$		+270=	\$
<b>Base Filing Fee</b>				\$355			\$710
<b>Other Fee (specify purpose)</b>				\$			\$
<b>TOTAL FILING FEE* (accounting for possible small entity status)</b>				<b>\$691</b>	OR	<b>TOTAL</b>	

A check in the amount of \$\_\_ to cover the filing fee is enclosed

No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.

The Director is hereby authorized to charge and credit Deposit Account No. 22-0185 as described below. A duplicate copy of this sheet is enclosed.

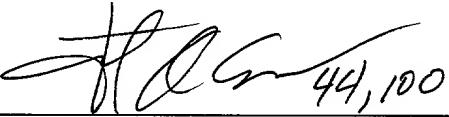
Charge the amount of \$\_\_ as filing fee

Credit any overpayment.

Charge any additional filing fees required under 37 CFR § 1.16

Charge any additional filing fees required under 37 CFR § 1.17

If filing fee is not enclosed herewith, the filing fee(s) required to Deposit Account No. 22-0185.

Name (Print/Type)	Stanley B. Green	Registration No. (Attorney/Agent)	24,351
Signature		Date	July 5, 2001